

3-28-00

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March 27, 2000

Attorney Docket No.: 11657-003001

Box Patent Application

Assistant Commissioner for Patents
Washington, DC 20231

Presented for filing is a new original patent application of:

Applicant: Gary L. Gastineau and Clifford Weber

Title: Hedging Exchange Traded Mutual Funds or Other Portfolio Basket
Products

Enclosed are the following papers, including those required to receive a filing date
under 37 CFR 1.53(b):

	Pages
Specification	23
Claims	5
Abstract	1
Declaration	2
Drawing(s)	7

Enclosures:

- Assignment cover sheet and an assignment, 3 pages, and a separate \$40 fee.
- Postcard.

Basic filing fee	\$690
Total claims in excess of 20 times \$18	\$0
Independent claims in excess of 3 times \$78	\$78

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL228033256

I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

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Signature

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Federick P. Fish
1855-1930

W.K. Richardson
1859-1951

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Fee for multiple dependent claims
Total filing fee:

\$0
\$768

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.


If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (617) 542-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

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Respectfully submitted,


Denis G. Maloney
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F-1

Enclosures

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